

UTTAM SUGAR MILLS LIMITED

Registered Office :- Village Libberheri, Roorkee, District -Haridwar, Uttarakhand - 247667

Corp. Office - A-2E, IIIrd Floor, CMA Tower, Sector 24, Noida - 201 301 (Uttar Pradesh)

Email :- gramarathnam_uttam@yahoo.com

CIN:-L99999UR1993PLC032518

Website :- www.uttamsugar.in

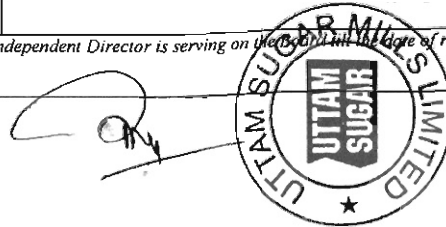
Corporate Governance Report

1. Name of Listed Entity : Uttam Sugar Mills Limited
2. Quarter ending : 31.12.2023

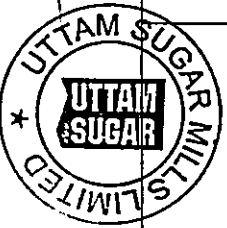
I. Composition of Board of Directors

Title (Mr. / Ms.)	Name of the Directors	PAN & DIN	Category (Chairperson/ Executive/ Non-Executive/ Independent/ Nominee)	Disqualification of Directors under section 164 of the Companies Act, 2013					Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations]	Date of passing special resolution	Initial Date of Appointment	Date of Appointment in Current Term (Date of Re-appointment)	Date of cessation	Tenure*	Date of Birth	No. of Directorship in listed entities including this listed entity [in reference to Regulation 17A(1)]	No. of Independent Directorship in listed entities including this listed entity [in reference to proviso to regulation 17A(1)]	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No. of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)
				Whether the director is disqualified?	Start Date of disqualification	End Date of disqualification	Details of disqualification	Current status											
Mr.	Raj Kumar Adlakha	PAN-AJZPK7896C DIN-00133256	Managing Director	No	-	-	-	Active	-	-	28.07.1998	01.04.2021	-	-	22-01-1956	1	-	-	-
Mr.	Shankar Lal Sharma	PAN-AGMPS8068E DIN-09018381	Executive Director	No	-	-	-	Active	-	-	07.01.2021	07.01.2021	-	-	10-08-1973	1	-	-	-
Mr.	Gurbachan Singh Matta	PAN-AAEPM6860C DIN-02612602	Non-Executive Non-Independent Director	No	-	-	-	Active	Yes	17.09.2021	30.09.2020	30.09.2020	-	-	24-03-1948	1	-	2	1
Mr.	Narendra Kumar Sawhney	PAN-AASPS8255F DIN-00109853	Non-Executive Independent Director	No	-	-	-	Active	Yes	20.09.2019	14.05.2015	20.09.2019	-	8 Years and 7 Months	01-02-1942	1	1	2	1
Mrs.	Rutuja Rajendra More	PAN-AHJPM3620Q DIN-07201928	Non-Executive Independent Director	No	-	-	-	Active	-	-	05.06.2015	20.09.2019	-	8 Years and 6 Months	26-05-1969	1	1	-	-
Mr.	Jasbir Singh	PAN- AAAPS5546F DIN-08897793	Non-Executive Independent Director	No	-	-	-	Active	Yes	17.09.2021	30.09.2020	30.09.2020	-	3 Years and 3 Months	20-08-1947	1	1	2	-
Mr.	Ravi Kumar	PAN- ABYPK3865E DIN-02362615	Non-Executive Independent Director	No	-	-	-	Active	-	-	10.08.2022	10.08.2022	-	1 Years and 4 Months	15-04-1961	1	1	-	-

Whether Regular Chairperson appointed	Yes
Whether Chairperson is related to managing Director or CEO	Yes
* Note: Tenure of Independent Director is calculated on the basis of period from which Independent Director is serving on the Board till the date of relevant quarter. (i.e. from the date of appointment of Director as Independent Director appointed as per the Companies Act, 2013 till September Quarter.)	



II. Composition of Committees									
Name of Committee	Whether Regular Chairperson appointed	Name of Committee members	Category (Chairperson/ Executive/Non-Executive/ Independent/ Nominee)	Date of Appointment	Date of Cessation				
1. Audit Committee	Yes	1. Mr. Narendra Kumar Sawhney (Chairperson) 2. Mr. Jasbir Singh 3. Mr. Gurbachan Singh Maita	Non-Executive Independent Director Non-Executive Independent Director Non-Executive Non-Independent Director	14.05.2015 30.09.2020 30.09.2020	- - -				
2. Nomination & Remuneration Committee	Yes	1. Mr. Narendra Kumar Sawhney (Chairperson) 2. Mr. Jasbir Singh 3. Mr. Gurbachan Singh Maita	Non-Executive Independent Director Non-Executive Independent Director Non-Executive Non-Independent Director	11.11.2017 30.09.2020 30.09.2020	- - -				
3. Risk Management committee	Yes	1. Mr. Shankar Lal Sharma (Chairperson) 2. Mr. Narendra Kumar Sawhney 3. Mr. Sanjay Bhandari	Executive Director Non-Executive Independent Director Chief Financial Officer	16.05.2022 16.05.2022 16.05.2022	- - -				
4. Stakeholders Relationship Committee	Yes	1. Mr. Gurbachan Singh Maita (Chairperson) 2. Mr. Narendra Kumar Sawhney 3. Mr. Jasbir Singh	Non-Executive Non-Independent Director Non-Executive Independent Director Non-Executive Independent Director	30.09.2020 02.08.2018 30.09.2020	- - -				
III. Meeting of Board of Directors									
Date (s) of Meeting in the previous quarter	Date (s) of Meeting (if any) in the relevant quarter	Whether requirement of Quorum met	Total Number of Directors as on date of the meeting	No. of Directors Present (all Directors including Independent Directors)	No. of Independent Directors attending the meeting	Maximum gap between any two consecutive (in number of days)			
11 th August, 2023	06 th November, 2023	Yes	7	7	4	86 days			
IV. Meeting of Committees									
Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (details)	Total Number of Directors/Members in the committee as on date of the meeting	No. of Directors present (all Directors including Independent Directors)	No. of Independent Directors attending the meeting	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings in number of days			
A. Audit Committee	06 th November, 2023 Present: 1. Mr. Narendra Kumar Sawhney 2. Mr. Jasbir Singh 3. Mr. Gurbachan Singh Maita	Yes 3	3	2	11 th August, 2023	86 days			
B. Nomination & Remuneration Committee	Present: 1. Mr. Narendra Kumar Sawhney 2. Mr. Jasbir Singh 3. Mr. Gurbachan Singh Maita	Yes	-	-	11 th August, 2023	-			



C. Risk Management committee		Yes							
06 th November, 2023	Present: 1. Mr. Shankar Lal Sharma 2. Mr. Narendra Kumar Sawhney 3. Mr. Sanjay Bhandari	3	2	1	1	25 th July, 2023			
D. Stakeholders Relationship Committee		Yes							
06 th November, 2023	Present: 1. Mr. Garbajhan Singh Maita 2. Mr. Narendra Kumar Sawhney 3. Mr. Jasbir Singh	3	3	2	-	11 th August, 2023			
Subject Whether prior approval of audit committee obtained Whether shareholder approval obtained for material RPT Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee Vote 1 In the column "Compliance Status", compliance or non-compliance may be indicated by Yes/No/N.A.. For example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words "N.A." may be indicated. 2 If status is "No" details of non-compliance may be given here.									
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VI. Affirmations 1. The composition of Board of Directors is in terms of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015. 2. The composition of the following committees is in terms of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015. a. Audit Committee b. Nomination & Remuneration Committee c. Stakeholders Relationship Committee d. Risk Management Committee 3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing Obligations and Disclosure Requirements), Regulations, 2015. 4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015. 5. This report and/or the report submitted in the previous quarter has been placed before Board of Directors.									
VII. Details of Cyber Security Incidents Whether as per Regulation 27(2) (ba) of SEBI (LODR) Regulations, 2015 there has been cybersecurity incidents or breaches, or loss of data or documents during the quarter Yes/No No									

FOR UTTAM SUGAR MILLS LTD.

(RAJESH GARG)
 COMPANY SECRETARY & COMPLIANCE OFFICER

